Katten

Katten Muchin Rosenman LLP

Christian T. Kemnitz

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Practices

FOCUS: Financial Services

Antitrust and Competition

Futures and Derivatives

Proprietary Trading Firms

Securities Litigation

White Collar, Investigations and Compliance

Class Action and Multidistrict Litigation

Financial Services Litigation

Litigation

Quantitative and Algorithmic Trading

Distributed Ledger Products, Services and Technology

Industries

Finance and Financial Services

Recognition

Legal 500, 2015–2019

Chambers USA - Top Ranked, Recognized Practitioner, 2016

Christian T. Kemnitz is co-head of the firm's Financial Services Litigation practice and a member of the Board of Directors, focusing on disputes relating to the financial services industry. He is experienced in pre-litigation counseling and all aspects of litigation, including trial, arbitration, and mediation. In addition, Chris handles complex commercial disputes, antitrust and trade regulation, white collar criminal matters and internal investigations.

Chris's financial services experience includes litigation, enforcement proceedings, internal investigations and regulatory actions relating to securities, options, derivatives, commodities and futures. He represents broker-dealers in civil disputes, including arbitration claims brought by customers. In this work, Chris has an extensive and successful record resolving matters at hearings. He also handles class action litigation brought under the securities laws or relating to the financial services industry. Chris counsels diverse parties at various exchanges, and in enforcement proceedings before the Securities Exchange Commission (SEC), Commodity Futures Trading Commission (CFTC), self-regulatory bodies, and at stock, option and futures exchanges.

His commercial litigation experience includes the litigation of complex contracts, employment matters, product liability claims, disputes within partnerships and closely held corporations, and complex financial matters. Clients seek his counsel in class action disputes in industries ranging from manufacturing to financial services and involving alleged violations of the antitrust and securities laws or state law product liability claims. Chris also advises corporate and individual clients in many industries in white collar criminal matters, including governmental investigations involving the Department of Justice (DOJ), the SEC and the CFTC. Often those investigations are preceded by comprehensive internal investigations. Chris has participated in or run internal investigations for manufacturing companies, investment banks, broker-dealers and hedge funds.

Chris is experienced in all aspects of antitrust law and state and federal antitrust litigation, including pre-merger regulatory review, criminal investigations and class action civil litigation relating to claims of conspiracy, monopoly and Robinson-Patman violations by direct and indirect purchasers.

Before joining the firm, Chris was a law clerk for the Honorable Charles L. Levin of the Michigan Supreme Court. He serves as the treasurer of the Board of Directors of the Cabrini Green Legal Aid Clinic in Chicago.

Education

JD, The University of Chicago Law School

BA, Michigan State University

Bar Admissions

Illinois

Court Admissions

US District Court, Northern District of Illinois

US Court of Appeals, Fifth Circuit

Memberships

- Illinois State Bar Association
- The Chicago Bar Association

Advisories

- Second Circuit Decision Provides Guidance for Drafting Enforceable Broker-Dealer Agreement Forum Selection Clause (September 4, 2014)
- *Gruss v. Zwirn*: SDNY Strikes a Blow Against Selective Waiver (December 5, 2013)
- The SEC's Heightened Scrutiny of Broker-Dealer Municipal Securities Underwriting and Public Entity Sales Practices (February 29, 2012)

Articles

- Co-author, Katten Attorneys Examine the Coscia Spoofing Conviction and the Questions It Creates (September 4, 2017)
- Co-author, SDNY Strikes a Blow Against Selective Waivers, Law360 (January 14, 2014)

Newsletters

- Author | *Corporate & Financial Weekly Digest* (Weekly)

Speaking Engagements & Presentations

- Participant | There’s Another Sheriff in Town: Enforcement by Exchanges | 41st Annual FIA Law and Compliance Division Conference | Washington, DC (May 8–10, 2019)
- Panelist | MB: Investment Banking and Capital Markets Enforcement Issues and Developments | SIFMA C&L Annual Seminar | Phoenix, Arizona (March 25, 2019)
- Co-Presenter | Beyond Bitcoin | Webinar (May 17, 2018)
- Speaker | Enforcement: CFTC, NFA, SRO Enforcement and Exams | 40th Annual Law & Compliance Division Conference | Washington, DC (May 2–4, 2018)
- Moderator | Exchange Investigations & Enforcement: Insights and Trends | Chicago, Illinois (February 28, 2018)
- Panelist | Trading Bitcoin: Legal and Compliance Considerations for Trading and Facilitating Transactions in Bitcoin | Webinar (December 13, 2017)
- Keynote Speaker | SIFMA Compliance & Legal Society New York Regional Seminar | New York, New York (November 1, 2017)
- Speaker | Futures Regulatory Enforcement | Katten's Annual Financial Services Litigation Symposium | New York, New York (October 26, 2017)
- Panelist | U.S. Regulatory Update | 2017 Quadrilateral Conference | New York, New York (July 14, 2017)
- Speaker | 39th Annual FIA Law & Compliance Division Conference on the Regulation of Futures, Derivatives and OTC Products | Washington, DC (May 3–5, 2017)

- Presenter | Katten's Annual Financial Services Litigation Symposium | New York, New York (October 27, 2016)
- Presenter | Automated Trading Requirements | 38th Annual FIA Law & Compliance Conference on the Regulation of Futures, Derivatives and OTC Products | Baltimore, Maryland (May 4–6, 2016)
- Panelist | A Review of CFTC Proposed Regulation AT and Its Potential Practical Impact | Webinar (December 17, 2015)
- Panelist | Emerging Trends in Securities and Futures Trading Regulation | Chicago, Illinois (December 3, 2015)
- Presenter | Market Manipulation in Electronic Securities Markets | Chicago, Illinois (November 19, 2015)
- Panelist | Emerging Trends in Securities and Futures Trading Regulation | New York, New York (November 11, 2015)
- Panelist | Financial Services Litigation Symposium | New York, New York (October 6, 2015)
- Panelist | Hot Topics for the Securities Industry | Compliance and Legal Society Chicago Breakfast | Chicago, Illinois (September 25, 2015)
- Panelist | Report from the Battleground of PE Litigation | ACIC Spring Forum: Back to the Future | Chicago, Illinois (April 30, 2015)
- Panelist | Commodities and Derivatives Litigation and Regulatory Update | Compliance & Legal Society Annual Seminar | Phoenix, Arizona (March 15–18, 2015)
- Presenter | Financial Services Litigation Symposium | New York, New York (October 16, 2014)
- Panelist | CFTC Automated Trading Concept Release – In Practice | 36th Annual Law & Compliance Conference on the Regulation of Futures, Derivatives and OTC Products | Baltimore, Maryland (May 7–9, 2014)
- Panelist | Recent Publicity Concerning Proprietary Trading: Potential Regulatory Responses | New York, New York (May 6, 2014)
- Panelist | Recent Publicity Concerning Proprietary Trading: Potential Regulatory Responses | Chicago, Illinois (April 28, 2014)
- Panelist | Commodities and Derivatives Litigation and Regulatory Update | Compliance & Legal Society Annual Seminar 2014 | Orlando, Florida (March 30–April 2, 2014)
- Presenter | Pitfalls in a Shifting Landscape: Trade Practice Issues for Futures and Securities | Chicago, Illinois (December 3, 2013)
- Panelist | Pitfalls in a Shifting Landscape: Trade Practice Issues for Futures and Swaps | New York, New York (October 23, 2013)
- Presenter | Successful Partnering Between Inside and Outside Counsel | Webinar (August 29, 2013)

- Speaker | Strategies to Deal with the CFTC's Trade Practice and Market Surveillance (TP/MS) Program | Webinar (May 29, 2013)
- Panelist | Arbitration: Discovery, Expungement, Mediation, and ADR | SIFMA Compliance and Legal Society Annual Seminar 2013 | Phoenix, Arizona (March 17–20, 2013)