

# Shannon C. Gross

## Associate

Chicago Office

+1.312.902.5442

shannon.gross@katten.com



### Practices

FOCUS: Financial Markets Litigation and Enforcement

Litigation

### Education

JD, Northwestern Pritzker School of Law,  
*cum laude*

BA, University of British Columbia

### Bar Admissions

Illinois

### Court Admissions

US District Court, Northern District of Illinois

US Court of Appeals, Seventh Circuit

### Community Involvements

The Chicago Bar Association, Young Professionals Section Board

Women's Bar Association of Illinois

Shannon Gross focuses her practice for clients in the financial industry in regulatory and enforcement matters, as well as state and federal litigation.

### Getting the details right is crucial in litigation

Shannon works on class actions, regulatory inquiries and investigations, arbitrations and commercial litigation for financial services clients. She helps gather all the pertinent facts of the matter down to the last detail. Then the team identifies all the strengths and weaknesses of the case to prepare winning arguments for trial.

Sometimes a good outcome is getting the claim dismissed. Other times, it is getting a settlement the client can live with. But Shannon and her team always do everything they can to assure the best result possible for their client.

The depth of Shannon's experience includes managing e-discovery and document review teams, drafting successful dispositive motions, responding to regulatory investigations, taking and defending fact witness and expert testimony at hearing, taking and defending depositions, and researching securities law and enforcement trends.

Before her time at Katten, Shannon was a Public Interest Law Initiative (PILI) fellow with the MacArthur Justice Center. During law school, Shannon was a board member of the Women's Leadership Coalition and an editor for the *Journal of Technology and Intellectual Property*.

### Representative Experience

- Represent international banks in multiple multi-district litigation antitrust matters.
- Represent banks and broker-dealers in arbitrations defending against

# Shannon C. Gross

*Associate*

---

investor claims in FINRA.

- Represent Futures Commission Merchants in arbitrations relating to recovery of margin deficits and actions alleging violations of CFTC regulations.
- Represent clients in the financial industry in FINRA and SEC investigations. Includes defending OTRs and drafting Wells Responses.
- Represented pharma start-up on stock purchase arbitration against former shareholder and CEO.
- Part of team that won Seventh Circuit appeal on procedural grounds for futures industry client.

## News

---

- Katten Attorneys Lauded for Pro Bono Service (October 27, 2021)

## Publications

---

- SEC Swaps the Game: New Rules to Combat Fraud, Manipulation and CCO Undue Influence (June 21, 2023)