



Robert Weiss

Of Counsel

robert.weiss@kattenlaw.com

New York

p +1.212.940.8584

Practices

FOCUS: Financial
Services

Corporate Governance

Mergers and
Acquisitions

Corporate Securities

Corporate

Private Funds and
Investment
Management

Structured Products

Futures and
Derivatives

Industries

Finance and Financial
Services

Recognition

JD Supra Reader's
Choice - Top Author
2018, International
Law

JD Supra Reader's
Choice - Top Author
2019, International
Law

Education

JD, Columbia Law
School, Harlan Fiske
Stone Scholar

Robert Weiss focuses his practice on a variety of hedge fund, fund-of-funds and corporate-related matters.

He regularly advises banks, financial institutions and investment funds with respect to hedge fund and other lending and leverage transactions, including those involving total return swaps, call options and other structured products. He also counsels and represents numerous domestic and offshore hedge funds, fund-of-funds, managed account platforms, commodity pools, family offices and investment managers on an ongoing basis.

Robert has extensive experience in a broad range of corporate matters, including representing acquirers and target companies in public and private mergers and acquisitions; providing advice and counsel in corporate finance, bank finance and venture capital finance transactions; and representing clients in joint ventures, strategic alliances and general corporate and limited liability company matters.

Robert is experienced in the structuring, negotiation and documentation of stock and asset acquisitions, mergers, leveraged buy-outs, split-ups, divestitures, private placements of debt and equity securities and secured financing transactions.

Robert has lectured extensively on a variety of legal topics, including those relating to hedge fund lending, swaps and derivatives, hedge fund and fund-of-fund structuring, limited liability companies and legal opinions.

Memberships

- American Bar Association, Business Law Section, Hedge Fund, Federal Regulation of Securities Committee and Legal Opinion Committees
- New York State Bar Association

Advisories

- Department of Labor Finalizes 18-Month Extension for Simplified Compliance With the BIC Exemption Under the ERISA Fiduciary Advice Rule (November 30, 2017)
- Sign of Future Changes? DOL Proposes 18-Month Extension of Transition Period for Compliance With ERISA "Fiduciary Investment Advice" Rule (August 11, 2017)



BA, Brooklyn College,
summa cum laude

Bar Admissions

New York

- Compliance With the ERISA Fiduciary Advice Rule for Private Investment Fund Managers and Sponsors and Managed Account Advisers: Beginning June 9, 2017 (June 6, 2017)
- Registered Investment Advisers Take Note: New SEC Custody Rule Guidance (March 7, 2017)
- US Reporter Deadlines for Bureau of Economic Analysis BE-180 Report (September 17, 2015)
- BEA Filing Deadline Looms For US Persons With Foreign Affiliates (May 29, 2015)
- Marketing Investment Management Services to Public Retirement Systems: Complying with Applicable Laws and Regulations (August 5, 2013)
- SEC Proposes Rule Amendments to Permit General Solicitation in Rule 506 and 144A Offerings, Including Offerings by Hedge Funds and Other Private Funds (September 17, 2012)
- Financial Reform Bill Reshapes Investment Adviser Regulation (July 29, 2010)
- Power of Attorney Law Changes in New York on September 1, 2009 (August 27, 2009)

Newsletters

- Author | *Corporate & Financial Weekly Digest* (Weekly)